1635

TFW

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No.: CSHL-P01-011

(PATENT)

E

__January 12, 2007

Signature: (Andrea Silverman)

JAN 1 6 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ofe Patent Application of:

Confirmation No.: 1038

Hannon et al.

Art Unit: 1635

Application No.: 10/524,690

Examiner: Not Yet Assigned

Filed: February 15, 2005

January 12, 2007

For:

CELL-BASED RNA INTERFERENCE AND

RELATED METHODS AND

COMPOSITIONS

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed PTO/SB/08 attached hereto as <u>Exhibit A</u>. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

PCT/US03/30901 is the international application which corresponds to the subject application. An International Search Report was issued May 12, 2004 in connection with this PCT application. A copy of the Search Report is attached as <u>Exhibit B</u>. Each of the references cited in the Search Report is listed on the attached PTO/SB/08 form.

Application No.: 10/524,690 Docket No.: CSHL-P01-011

Applicant submits herewith copies of non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CSHL-P01-011.

Dated: January 12, 2007

Respectfully submitted,

Spencer H. Schneider

Registration No.: 45,923

FISH & NEAVE IP GROUP, ROPES & GRAY LLP

1251 Avenue of the Americas

New York, New York 10020-1104

(212) 596-9000

(212) 596-9090

Attorneys/Agents For Applicant

Sheet

PTO/SB/08a/b (07-06)

Approved for use through 09/30/2006 OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB country number.

Substitute for form 1449A/B/PTO

1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known				
Application Number	10/524,690			
Filing Date	February 15, 2005			
First Named Inventor	Gregory J. Hannon			
Art Unit	1635			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	CSHL-P01-011			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\)Applicant's unique citation designation number (optional). \(^2\)See Kinds Codes of USPTO Patent Documents at \(\frac{\text{www.uspto.qov}}{\text{uspto.qov}}\) or MPEP 901.04. \(^3\)Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.1				
	CA	BASS, 2001, RNA interference. The short answer, Nature 411:428-429			
	СВ	BAULCOMBE, Gene silencing: RNA makes RNA makes no protein, 1999, Curr. Biol. 9:R599-R601			
	CC	FIRE et al., 1998, Potent and specific genetic interference by double-stranded RNA in Caenorhabditis elegans, Nature 391:806-811			
, 1	CD	FIRE, 1999, RNA-triggered gene silencing, Trends Genet. 15:358-363			
	CE	HANNON, 2002, RNA interference, Nature 418:244-251			
	CF	HUNTER, 1999, Genetics: a touch of elegance with RNAi, Curr. Biol. 9:R440-R442			
	CG	LEE et al., 2002. Expression of small interfering RNAs targeted against HIV-1 rev transcripts in human cells, Nat. Biotech. 20:500-505			
_	СН	LEWIS et al., 2002, Efficient delivery of siRNA for inhibition of gene expression in postnatal mice, Nat. Genet. 32:107-108			
	CI	MONTGOMERY et al., 1998, RNA as a target of double-stranded RNA-mediated genetic interference in Caenorhabditis elegans, PNAS, 95:15502-15507	-		
	CJ	PADDISON et al., 2002, RNA interference: the new somatic cell genetics? Cancer Cell 2:17-23			
	CK	PADDISON et al., 2002, Short hairpin RNAs (shRNAs) induce sequence-specific silencing in mammalian cells, Genes Dev. 16(8):948-958			
	CL	SHARP, 1999, RNAi and double-strand RNA, Genes Dev. 13:139-141			
	СМ	TUMA, 2003, Antisense and RNAi: the gloves are off. Biotech. Annual Review, BioMedNet 5:1-2			
	CN	VAUCHERET et al., 1998, Transgene-induced gene silencing in plants, , Plant J. 16:651-659			
	СО	WIANNY et al., 2000, Specific interference with gene function by double-stranded RNA in early mouse development, Nat. Cell. Biol. 2:70-75			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner	Date
Signature	Considered